Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM		Patent Number		5,788,178			
		Issue Date		August 4, 1998			
		Application Number		08/888,425			
		Filing Date		July 7, 1997			
(to be used for all correspondence after	First Named Inventor		Barrett, Jr.				
Total Number of Pages in This Submission 4		Attorney Docket Number		RBAR0001-100			
	ENCLO	SURES (check all that	apply)				
Fee Transmittal Form	☐ Drawing(s)			☐ After Allowance Communication to TC			
Fee Attached	Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Petition to Convert to a Provisional Application			Proprietary Information			
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address			Status Letter		
Extension of Time Request	Terminal I	Disclaimer		Other Enclosure(s) (please identify below):			
Express Abandonment Request		or Refund per of CD(s)		Reply to Rea	quest for Information (Petitions		
☐ Information Disclosure Statement	☐ Landscape Table on CD						
Certified Copy of Priority Document(s)  Remarks  via facsimile to 571-273-8300  ATTENTION: PETITIONS							
Reply to Missing Parts/ Incomplete Application		Please deliver to	Attorney	Cliff Congo			
Reply to Missing Parts under 37 CFR1.52 or 1.53					,		
SIGI	NATURE OF A	APPLICANT, ATTOR	NEY, OF	RAGENT	:		
Firm Cozen O'Connor							
Signature Michael &							
Printed Name		Michael A. Patané					
Date July 27, 200		Reg. No. 42,982					
	CERTIFICA	TE OF TRANSMISSI	ON/MAII	LING			
I hereby certify that this corresponden Service with sufficient postage as fir Alexandria, VA 22313-1450 on the date	st class mail in						
Signature Micl	SA.						
Typed or printed name Michael A	. Patané			Date	July 27, 2006		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Confirmation Report - Memory Send

Date & Time: Jul-27-2006 11:43am

Tel line : 215-665-2013 Machine ID : COZEN O'CONNOR

Job number

: 159

Date & Time

: Jul-27 11:41am

Tο

2711#099995#0#15712738300#

Number of pages

004

Start time

Jul-27 11:41am

End time

: Jul-27 11:43am

Pages sent

004

Status

: OK

Job number

: 159

\*\*\* SEND SUCCESSFUL \*\*\*

Under the Peperwork Reduction Act of 1995, no per 5,788,178 Patent Number TRANSMITTAL August 4, 1998 Issue Date FORM Application Number 08/888,425 Filing Date July 7, 1997 First Named Inventor Barrett, Jr. flo be used for all correspondence after initial film
Total Number of Pages in This Submission 4 Attorney Docket Number RBAR0001-100 ENCLOSURES (check all that apply) Fee Transmittel Form Drawing(s) After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences ☐ Fee Attached Licensing-related Papers Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment / Reply Petition to Convert to a Provisional Application Proprietary Information After Final Power of Attorney, Revocation Change of Correspondence Address Affidavits/declaration(a) Status Letter Terminal Disclaimer Other Enclosure(s) (please kientily below): Extension of Time Request Reply to Request for Information (Petitions) Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD via facsimile to 571-273-6300 Romarks Certified Copy of Priority ATTENTION: PETITIONS
Please deliver to Attorney Cliff Congo Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Cozen O'Connor Signature Micha Printed Name Michael A. Patené July 27, 2008 42,982 CERTIFICATE OF TRANSMISSION/MAILING hereby certify that this correspondence is being facelimile transmitted to the USPTO or deposited with the United States Postal cervice with sufficient postage as first class mall in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, lexandria, VA 223-13-1450 on the date shown below. Michael A. Patané Signature Typed or printed name Date July 27, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retein a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 23 and 37 CFR 1.1. The obtaint is established to 1.3 minutes to complete the holiding of the complete the complete the public of the complete this form and/or auggestions for required to complete the formation of the formation o

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re US Patent: 5,788,178

Inventor: Rolin F. Barrett, Jr.

**Issued:** August 4, 1998

Petitions Attorney: Cliff Congo

Serial No.: 08/888,425

Docket No.: RBAR0001-100

Filed: July 7, 1997

For: GUIDED BULLET

Mail Stop: PETITIONS

**Commissioner for Patents** 

P.O. Box 1450

**Alexandria, VA 22313-1450** 

ATTN: Cliff Congo

VIA Electronic Filing System(EFS)
DATE SENT: July 27, 2006

## REPLY TO REQUEST FOR INFORMATION

Sir:

This Reply responds to the June 26, 2006 Request for Information concerning the Petition for Acceptance of Delayed Payment of Maintenance Fee in Expired Patent to Reinstate Patent dated July 8, 2005 and a Request for Reconsideration of the petition dated April 10, 2006.

Applicant acknowledges with appreciation the courtesies extended to their representative, Michael A. Patané, by Petitions Attorney Cliff Congo, during their July 26, 2006 telephone conversation concerning the Request for Information.

As noted during the conversation Mr. Congo has agreed to revisit the Petition in light of various portions of the Walsh and Mills Declaration noted during the conversation. Applicants respectfully assert that these declarations, and the Petitions contain sufficient evidence to show:

- 1. the error was clerical in nature
- 2. the error was made by clerical staff
- 3. the responsible staff member was adequately trained and knowledgeable
- 4. the error occurred despite back-up procedures.

The error, as noted on page 5 of Mrs. Walsh's Declaration in paragraph 2 (we note due to our own clerical error, this is the second paragraph 2 in the Declaration), was that the

maintenance fee reminder letters were addressed to Ronald Barrett rather than Rolin Barrett. Misadressing a letter clearly falls within the meaning of clerical error.

As noted in that same paragraph, Mrs. Walsh, a secretary at the Mills Firm, prepared the letters, including the inadvertent error. Thus, the error was made by clerical staff, not Applicant himself or his attorney.

Mrs. Walsh was a trusted member of the Mills Law Firm staff. As noted on page one, paragraph 1 of the Walsh Declaration, she began working in the Mills Firm in 1996, five years before the first maintenance fee was due. As such, by the time the maintenance fee was due, she was familiar with the firm's policies and procedures, including letter drafting, docketing, and maintenance fee payment procedures. As noted in paragraph 7 of the Mills Declaration, Mrs. Walsh was one of several employees entrusted with the responsibility of pulling patents and sending maintenance fee reminders, prior to a change in procedures in 2001. Of those employees, Mrs. Walsh is the only one who remains at the firm, evidencing the firm's confidence in her abilities. The Mills Declaration at paragraph 10 indicates Mrs. Walsh was key in recognizing the advantages of a centralized maintenance fee reminder system and helped implement that system around September 2001.

The error occurred despite back-up measures to avoid disastrous consequences. As noted in both the Mills and Walsh Declarations, the first reminder usually sent at the opening of the payment window apparently was not sent, due to timing of the change in reminder systems in late 2001. Fortunately, the firm has a back-up plan in place and sends a second reminder along with a notice from the PTO indicating that the surcharge period has opened. Mrs. Walsh prepared this letter and it was mailed March 12, 2002 (see Mills Declaration paragraph 9; Walsh Declaration page 2, paragraph 1.) In the event, as happened here, that no instructions are received, the firm has another back-up provision in that another reminder letter, via certified mail, signed receipt requested, is sent advising the client that the surcharge period is about to close (see Mills Declaration paragraphs 12-14; Walsh Declaration pages 2-3, paragraphs 4-6). Finally, upon receiving a Notice of Abandonment for failure to pay maintenance fees, the firm sends the Notice to the client requesting that the client call with any questions concerning the abandonment. Thus, there are several levels during the firm's maintenance fee reminder system in place to avoid potential mishaps or miscommunications. Unfortunately, in this case they did

July 27, 2006 Response to Request for Information

not prevent the error, in part because the unintended recipient never conveyed his receipt of mail not concerning him, even when having to sign for the letter.

Applicants respectfully submit that the original Petition and its attachments, Request for Reconsideration, and this communication provide ample evidence of the clerical error and that the Petition for Acceptance of Delayed Payment of the Maintenance fee should be granted, and the patent reinstated. Reconsideration in light of this communication, the Request for Reconsideration, and the original Petition is respectfully requested.

The Commissioner is hereby authorized to charge any fee or underpayment thereof or credit any overpayment to deposit account no. 50-1275.

Early reconsideration and allowance of all pending claims is respectfully requested. The examiner is requested to contact the undersigned attorney if an interview, telephonic or personal, would facilitate allowance of the claims.

> Respectfully submitted, COZEN O'CONNOR

by: Michael A. Patané Reg. No. 42, 982

Date: July 27, 2006

1900 Market Street Philadelphia, PA 19103-3508

215-665-6966

## Confirmation Report - Memory Send

Date & Time: Jul-27-2006 11:43am

Tel line : 215-665-2013 Machine ID: COZEN O'CONNOR

Job number

: 159

Date & Time

: Jul-27 11:41am

Τo

: 2711#099995#0#15712738300#

Number of pages

: 004

Start time

: Jul-27 11:41am

End time

: Jul-27 11:43am

Pages sent

: 004

Status

: OK

Job number

Signature

Typed or printed name

: 159

\*\*\* SEND SUCCESSFUL \*\*\*

PTO/38/21 (
Approved for use through 07/31/2009, OMS 0651
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMM
to respond to a collection of information unless it displace a well of OF COMM Under the Peperwork Reduction Act of 1995, no per 5,788,178 Patent Number TRANSMITTAL August 4, 1998 Issue Date FORM Application Number 08/888,425 July 7, 1997 Filing Date Barrett, Jr. First Named Inventor (to be used for all correspondence after Initial filing)
Total Number of Pages in This Submission 4 RBAR0001-100 Attorney Docket Number ENGLOSURES (check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences
Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Licensing-related Papers Fee Attached Petition Amendment / Reply Petition to Convert to a Provisional Application Proprietary Information After Final Power of Attorney, Revocation Change of Correspondence Address Status Letter Affidavits/declaration(s) Terminal Disclaimer Other Enclosure(s)
(please identify balow): Extension of Time Request Reply to Request for Information (Petitions) 3 pages Request for Refund Express Abandonment Request CD, Number of CD(s) \_ Information Disclosure Statement Landscape Table on CD via facsimile to 571-273-83 Certified Copy of Priority
Document(s) Romarks ATTENTION: PETITIONS
Please deliver to Attorney Cliff Congo Reply to Missing Parts/ Incomplete Application
Reply to Missing Parts
under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Cozen O'Connor Micha Signature Printed Name Michael A. Petené Date July 27, 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Michael A. Patané

collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO I and II.14. This collection is estimated to 12 minutes to complete, including preparing, and submitting the completed application form to the USPTO. If and II.14. This collection is estimated to 12 minutes to complete application form to the USPTO. If and II.14. This collection is to the information of the information of the complete in the formation form to the USPTO. It is under all the first information officer, U.S. Petert an information of Commerce, P.O. Box and II.14. The III.14. It is under a set to the Chief information of Commerce, P.O. Box and III.14. It is under a set to the Chief information of Commerce and III.14. It is under a set to the Chief information of Commerce and III.14. It is under a set to the Chief information of Commerce and III.14. It is under the III.14. It is under

Date July 27, 2008